

Adult Care and Health Portfolio Provisional Outturn Summary

2019/20 Actuals £'000	Division Service Areas	2020/21 Original Budget £'000	2020/21 Final Approved £'000	2020/21 Provisional Outturn £'000	Variation £'000	Notes	Variation Last Reported £'000	Full Year Effect £'000
	PEOPLE DEPARTMENT							
	Adult Social Care							
23,910	Assessment and Care Management	22,253	21,170	20,905	Cr 265	1	Cr 230	2,495
Cr 850	- Better Care Funding - Discharge to Assess	0	0	0	0		0	0
120	Direct Services	149	110	83	Cr 27	2	Cr 27	0
323	Quality Assurance & Safeguarding	1,533	1,578	1,505	Cr 73	3	Cr 110	0
36,120	Learning Disabilities	36,506	37,084	37,198	114	4	79	2,064
7,051	Mental Health	7,211	7,211	7,734	523	5	437	436
Cr 770	Better Care Funding - Protection of Social Care	0	0	Cr 180	Cr 180		Cr 173	0
Cr 900	Better Care Funding - Additional Pressures	0	0	0	0		0	0
0	CCG Support for Social Care	0	0	Cr 535	Cr 535	6	Cr 535	0
65,004		67,652	67,153	66,710	Cr 443		Cr 559	4,995
	Integrated Commissioning							
2,354	Integrated Commissioning Division	2,141	2,120	2,019	Cr 101	7	Cr 32	0
	Information & Early Intervention							
1,049	- Net Expenditure	1,158	1,163	1,096	Cr 67	8	Cr 63	0
Cr 1,049	- Recharge to Better Care Fund (Prot of Soc Care)	Cr 1,158	Cr 1,163	Cr 1,096	67		Cr 63	0
	Better Care Fund							
23,764	- Expenditure	22,876	22,946	22,835	Cr 111	9	0	0
Cr 23,823	- Income	Cr 22,902	Cr 22,972	Cr 22,859	113		0	0
	Improved Better Care Fund							
11,471	- Expenditure	8,794	10,270	10,269	Cr 1	10	0	0
Cr 11,471	- Income	Cr 9,004	Cr 10,270	Cr 10,269	1		0	0
	Health Support for Social Care							
3,593	- Expenditure	0	0	0	0		0	0
Cr 3,593	- Income	0	0	0	0		0	0
2,295		1,905	2,094	1,995	Cr 99		Cr 32	0
	Public Health							
14,181	Public Health	14,629	14,840	14,835	Cr 5		0	0
Cr 14,320	Public Health - Grant Income	Cr 14,770	Cr 14,971	Cr 14,971	0		0	0
Cr 139		Cr 141	Cr 131	Cr 136	Cr 5		0	0
67,160	TOTAL CONTROLLABLE ADULT CARE & HEALTH	69,416	69,116	68,569	Cr 547		Cr 591	4,995
Cr 1,121	TOTAL NON CONTROLLABLE	302	3,400	3,400	0		74	0
4,865	TOTAL EXCLUDED RECHARGES	5,390	5,150	5,150	0		0	0
70,904	TOTAL ADULT CARE & HEALTH PORTFOLIO	75,108	77,666	77,119	Cr 547		Cr 517	4,995

REASONS FOR VARIATIONS**1. Assessment and Care Management - Cr £265k**

The overspend in Assessment and Care Management can be analysed as follows:

	<u>Final</u> <u>Variation</u> £'000
<u>Physical Support / Sensory Support / Memory & Cognition</u>	
Services for 65 +	
Placements	Cr 54
Respite Care	Cr 42
Domiciliary Care / Direct Payments	<u>638</u>
	542
Services for 18 - 64	
Placements	242
Respite Care	Cr 6
Domiciliary Care / Direct Payments	<u>88</u>
	324
Other	
- Staffing	Cr 215
- Day Care	Cr 107
- Transport	Cr 24
- Extra Care Housing	Cr 77
- Community DoLS	Cr 552
- Discharge to Assess (D2A)	Cr 193
- Other	<u>37</u>
	Cr 1,131
	<u>Cr 265</u>

The 2020/21 budget includes funding for the 2019/20 budget overspend calculated at the July interim budget monitoring, less savings and mitigation agreed to reduce this overspend.

Services for 65+ - Dr £542k

Overall the main placement services for the over 65 age group for Physical Support, Memory and Cognition and Sensory Support outturned with an overspend of £421k, which is a £1k change from the December reported position.

Numbers in residential and nursing care outturned at 6 under budget, with an overspend of £246k. After a much higher than usual attrition in April, likely linked to Covid-19, numbers of deaths returned to normal patterns. There have been increased costs of placements having to be made above the council's guide rates resulting in the overspend, despite numbers being below the budget. Part of the 2020/21 budget savings related to reducing these additional placement costs where possible, but this have proved difficult during the pandemic. The main pressure area in relation to these additional costs relates to clients with a primary support reason (PSR) of memory and cognition, where placements were 14 over budget. Conversely, Physical Support placements were 21 under budget.

This is partly offset by a final underspend on emergency and temporary placements, which was £300k underspent as less placements were made during the year.

Respite care underspent of £42k as less service users accessed the service this year due to the pandemic.

The final position on the domiciliary care and direct payments budgets is an overspend of £638k. Domiciliary care was overspent by £473k. Part of this increase is likely to be covid related as other community services such as day care remained shut all year and alternative care was required. Direct payments overspent by £165k, once again partly due to the reason given previously. Part of the 2020/21 budget savings related to reviewing packages of care to ensure they meet the needs of the service user however work on this was curtailed due to the pandemic.

Services for 18-64+ - Dr £324k

Overall the main placement services for the 18 - 64 age group for Physical Support, Memory and Cognition and Sensory Support outturned with an overspend of £324k, which is a reduction of £201k from the December reported position.

Placements for 18-64 age group overspent by £372k this year based on current service user numbers, with numbers being 6 above the budget provision. As with the 65+ age group, the unit cost of placements is higher than the budgeted unit cost, due mainly to the nature of the placements for this age group, where costs can vary depending on individual needs .

This is partly offset by a final underspend on emergency and temporary placements, which was £130k underspent as less placements were made during the year.

Respite care underspent of £6k as less service users accessed the service this year due to the pandemic.

The overall position on the domiciliary care and direct payments budgets is a final overspend of £88k. The domiciliary care final position was an overspend of £62k, a reduction of £71k from December, whilst Direct payments was an overspend of £26k, which is a reduction of £22k.

Staffing - Cr £215k

Staffing in the Adult Social Care care management teams underspent by £215k.

Day Care Services & Transport Services - Cr £131k

Day Care and related transport services were suspended for the whole of 2020/21 due to Covid-19, although some payments were agreed to be made to the transport providers for April and May. This has resulted in most of the budget being underspent, with transport underspending by £24k and day care by £107k.

Extra Care Housing - Cr £77k

The hours being delivered in the Extra Care Housing unit remained at the minimum level during the year, resulting in a final underspend of £77k.

Community Deprivation of Liberty Service (CDoLS) - Cr £552k

As reported in the 2019/20 budget monitoring and outturn reports, there was a large underspend on the Community DoLS budget. This underspend has continued for the 2020/21 financial year.

D2A - Cr £193k

Due to Covid-19, discharges from hospital following a revised pathway in unison with health, funded by government grants. This meant that the budget allocation for the discharge to assess service was only minimally being utilised, so an underspend has resulted on this budget.

Other costs - Dr £37k

Various other costs within the Care management division overspent by £37k.

2. Direct Services - Cr £27k

Reablement and the CARTS service - Cr £25k

Staffing within the reablement and CARTS services underspent by £233k due to vacancies during the year. As these services are partly funded by the Better Care Fund 'Protection of Social Care' budget, part of this underspend totalling £208k is reported elsewhere on the portfolio budget monitoring summary page.

Carelink - Cr £2k

There was a final underachievement of income of £46k relating to carelink services. Competition from current and new private providers of this type of service is the likely reason for this drop in income over the past couple of years. Offsetting this is under spends on running costs of £48k.

3. Quality Assurance & Safeguarding - Cr £73k

This service is responsible for contracts compliance and monitoring for adult social care contracts, adult safeguarding, as well as overseeing the Bromley Safeguarding Adults Board. The final underspend is as a result of staff vacancies in the service.

4. Learning Disabilities (LD) - Dr £114k

The 2020/21 LD budget included funding for anticipated 2020/21 demand-related pressures, a contribution to the full year effect of the 2019/20 overspend but also reductions relating to planned savings. Despite the net increase in budget, the outturn position is an overspend of £114k, a small increase from the £79k anticipated in quarter 3.

The overspend is due to a combination of the impact in 2020/21 of the high full year effect of 2019/20 spending (in excess of the amount funded in the 2020/21 budget), a shortfall in savings and new and increased care packages. This is largely offset by the impact of Covid and the associated significant reduced take up of LD services.

The Covid-related reduced costs include: temporary cessation of day services and associated reduced cost of transport; reduced numbers of domiciliary care packages (client preference); some clients returning home from their residential placements and, sadly, a higher than average number of deaths. Mostly these reductions continued to the end of the financial year although, since the quarter 3 report, some services have resumed. In view of the Covid-related reduced take up, some of the additional LD services put in place as a result of Covid are now able to be funded from the LD budget.

The 2020/21 LD budget included savings totalling £896k against which there is a shortfall of £631k in 2020/21. A proportion of this (£440k) is because of delays due to the impact of Covid and this element of the shortfall has therefore been attributed to Covid Grant funding. The remainder of the shortfall relates mostly to the target for increased uptake of the Shared Lives service.

The full year overspend of £2,064k is much higher than the 2020/21 overspend of £114k. The reduced costs that have been experienced in 2020/21 due to the impact of Covid are likely to be predominantly non-recurrent and it has been assumed that services resume to normal levels in 2021/22. In addition, the full year effect of the savings shortfall is more significant as some of the savings achieved in year are short term only, and also it has been assumed that the contribution from Covid funding towards the shortfall was one off in 2020/21.

5. Mental Health (MH) - Dr £523k

The final outturn position on Mental Health (MH) is an overspend of £523k which is an increase of £86k compared to the position reported in quarter 3.

The overspend can largely be attributed to the full year effect of 2019/20 spending exceeding the amount funded in the 2020/21 budget (the full year effect was only partly funded). While there has been movement in placements and care packages during the year this hasn't increased pressure on the budget overall - new and increased packages have been offset by placements ending, reductions in packages and joint funding from health. In addition, costs relating to a legal case have been charged to the MH budget.

The increase in overspend since the quarter 3 projected position is the net result of a number of factors including: costs relating to a legal case; identification of health funding contributions towards packages, some of which were backdated; correction of Primary Support Reason (PSR) misclassifications away from MH; increased income from client contributions; slippage in previously estimated start dates.

The full year impact of the overspend is estimated at Dr £436k. This is positive in that it is less than the growth included in the 2021/22 budget but risks remain going forward regarding clients with increasingly complex needs and increased reliance on expensive packages of care. The position will continue to be closely monitored moving forward into 2021/22.

6. CCG Support for Social Care - Cr £535k

In November 2020, South East London CCG confirmed they had allocated a total of £3m one-off financial support to the six local authorities to help maintain a robust social care offer over the remainder of the financial year. This funding has been allocated on a weighted population basis, and Bromley's amount is £535k. This funding helps to offset some of the additional care packages during the pandemic which are mainly detailed in note 1 above.

7. Integrated Commissioning Division - Cr £101k

COVID Contain Outbreak Management Funding, Workforce Capacity Grant and Rapid Testing Grant have been allocated towards the cost of staff working on the pandemic response. In addition there is an underspend on the variable element of the direct payments contract as a result of lower volumes than budgeted. Both of these, combined with minor underspends on staffing budgets, result in a total underspend of Cr £101k.

An underspend of Cr £32k was anticipated in quarter 3 budget monitoring and the increased underspend is a result of the allocation of additional COVID funding and delays to changes relating to staffing.

8. Information & Early Intervention - Cr & Dr £67k, net nil

The underspend of £67k on the Information and Early Intervention (I&EI) Service is a small increase from the underspend of £63k anticipated in quarter 3 budget monitoring. The underspend arises from a combination of inflationary efficiencies on contracts and slightly lower levels of activity on advocacy services, offset in part by a small shortfall on the Local Reform and Community Voices Grant. The final underspend on advocacy services was higher than anticipated (the payments vary in line with levels of activity).

The whole I&EI net budget is funded from the element of the Better Care Fund set aside to protect social care services. This £67k underspend has therefore been used to offset other budget pressures within social care in line with the intentions of the funding.

9. Better Care Fund (BCF) - Dr £2k (controllable, net)

Other than some of the variations on the protection of social care element, any underspends on Better Care Fund (BCF) budgets are carried forward for spending in future years under the pooled budget arrangement with South East London Clinical Commissioning Group. The net variation of Dr £2k is offset by a corresponding Cr £2k variation on BCF non-controllable budgets.

The income variation relates to an underspend of £113k on 2020/21 BCF allocations and approval is sought to carry this forward to 2021/22. This is fully offset by an equivalent underspend on expenditure (when non-controllable budgets are taken into account).

10. Improved Better Care Fund (IBCF) - Nil Variation (net)

The total amount of funding available in 2020/21 was as follows:

	£'000
2020/21 IBCF allocation - recurrent	4,636
2020/21 IBCF allocation - non-recurrent (extended for 4th year)	1,677
2020/21 Winter Pressures Grant	1,190
Carry forward from previous years	2,766
	<u>10,269</u>

The non-recurrent IBCF funding of £1,677k was extended for a fourth year and it was agreed as part of setting the 2020/21 budget that this would fund a contribution to a new, 'whole system' reserve that can be called upon in relation to any crisis in the joint health and social care systems.

Some of the remaining funding earmarked for spending in 2020/21 was not spent and, in line with the original IBCF report to the Executive in October 2017, underspends can be carried forward to support expenditure in future years. Carry forward approval is sought for £2,547k.

Waiver of Financial Regulations

The Council's Contract Procedure Rules state that where the value of a contract exceeds £50k and is to be exempt from the normal requirement to obtain competitive quotations the Chief Officer has to obtain the agreement of the Director of Corporate Services, the Director of Finance and the Director of Commissioning and (where over £100,000) approval of the Portfolio Holder and report use of this exemption to Audit Sub-Committee bi-annually. The Director of Adult Social Care has additional authority in respect of placements.

Since the last report to the Executive, 4 waivers for Adult placements have been agreed for between £50k and £100k and 3 for more than £100k, all of which were agreed by the Director of Adult Social Care.

Virements Approved to date under Director's Delegated Powers

Details of virements actioned by Chief Officers under delegated authority under the Financial Regulations "Scheme of Virement" will be included in financial monitoring reports to the Portfolio Holder. Since the last report to Executive, there have been no virements.

Carry Forwards from 2020/21 to 2021/22 - Adult Care & Health Portfolio**MEMBERS' APPROVAL REQUIRED****Grants with Explicit Right of Repayment**

1	Better Care Fund (BCF)	113,292
----------	-------------------------------	---------

Bromley's Better Care Fund (BCF) allocation for 2020/21 was £22,902k. Some areas within BCF, including those for intermediate care and dementia support, were not fully spent by 31st March 2021. Under the pooled budget arrangement with South East London CCG underspends are carried forward for spending on BCF activities in 2021/22. This funding will be allocated to agreed projects together with new BCF funding for 2021/22.

Adult Care and Health Portfolio	113,292
--	---------

Total Expenditure to be Carried Forward	113,292
--	----------------

Total Grant Income	-113,292
---------------------------	-----------------

Grants with no Explicit Right of Repayment

2	Improved Better Care Fund (IBCF)	2,546,601
----------	---	-----------

A total of £10,270k Improved Better Care Funding (IBCF) was available for spending in 2020/21. This included both the Winter Pressures Grant and non-recurrent IBCF allocation, along with an amount brought forward from 2019/20. Some of the funding earmarked for spending in 2020/21 was not spent and, in line with the original IBCF report to the Executive in October 2017, underspends can be carried forward to support expenditure in future years.

3	Test and Trace Service Support Grant	747,435
----------	---	---------

The Council received an allocation of £1,370k for the Test and Trace Service Support Grant to cover expenditure in relation to the mitigation against and management of local outbreaks of COVID-19. A total of £623k was spent during 2020/21 and the balance of £747k is requested to be carried forward to 2021/22.

4	Public Health Grant	1,855,421
----------	----------------------------	-----------

The ring-fenced Public Health Grant underspent as follows:

£141k in 2014/15

£152k in 2015/16

£330k in 2016/17

£395k in 2017/18

£761k in 2018/19

£358k in 2019/20

This total balance of £2,137k was carried forward to 2020/21 to fund public health initiatives as per the terms of the grant. Of this amount, £603k was used as a contribution to School Nursing as agreed as part of the 2020/21 budget setting. In addition for 2020/21 the grant underspent by £321k. The net cumulative balance of £1,855k is requested to be carried forward to fund public health initiatives in future years.

5	Contain Outbreak Management Fund	3,967,511
----------	---	-----------

The Council received a total allocation of £8,024k for the Contain Outbreak Management Fund to cover expenditure in relation to the mitigation against and management of local outbreaks of COVID-19. A total of £4,056k was spent during 2020/21 and the balance of £3,968k is requested to be carried forward to 2021/22.

Adult Care and Health Portfolio	9,116,968
--	-----------

Total Expenditure to be Carried Forward	9,116,968
--	------------------

Total Grant Income	-9,116,968
---------------------------	-------------------

OTHER CARRY FORWARD REQUESTS

6	Review of S31 Mental Health arrangements The Council and the Oxleas NHS Foundation Trust have agreed to undertake a review of the s31 arrangements and plan to employ an external contractor to carry out this work. The review will look at amongst other things the support given to staff seconded from the Council to the NHS Trust and an audit of the NHS Trust's implementation of the Care Act 2014 responsibilities it performs on behalf of the Council. This work is scheduled to take place over the Summer 2021 and will be funded from the 2020/21 underspend by both organisations. It is estimated that the Council's contribution to the review will be up to £20k.	20,000
7	Public Health Underspend 2020/21 MOPAC funding through the Bromley Safer Neighbourhood Board had been secured by Public Health during 2020/21. Due to the pandemic the funding was not able to be used during the year, so it is therefore requested to be carried forward to 2021/22.	5,300
		25,300
	Total Other	25,300
	TOTAL CARRY FORWARD TO 2021/22	25,300

Description	2020/21 Latest Approved Budget £'000	Variation To 2020/21 Budget £'000	Potential Impact in 2021/22
Assessment and Care Management - Care Placements	23,974	866	The full year impact of the current overspend is estimated at Dr £2,495k. Of this amount £1,849k relates to residential and nursing home placements and £646k to domiciliary care / direct payments. This is based on service user numbers as at the 31st March.
Learning Disabilities - including Care Placements, Transport and Care Management	37,084	114	<p>The full year effect (FYE) is estimated at a net overspend of £2,064k which is considerably higher than the in-year overspend and this is broadly a result of two main factors:</p> <p>1) The reduced costs that have been experienced in 2020/21 due to the impact of Covid have been assumed to be largely short term and non-recurrent and the FYE is based on services resuming to normal levels in 2021/22. There continues to be a degree of uncertainty in relation to the financial impact of the pandemic and this may not be the case, particularly in the early part of the financial year. The situation will be kept under review as part of 2021/22 budget monitoring.</p> <p>2) The impact of growth pressures from transition and increased / new care packages has only a part-year impact in 2020/21 with a far greater impact in a full year.</p>
Mental Health - Care Placements	7,211	523	Based on care packages in place at 31st March 2021 the full year impact of the Mental Health overspend is estimated at £436k. This is positive in that it is less than the growth included in the 2021/22 budget but risks remain going forward regarding clients with increasingly complex needs and increased reliance on expensive packages of care.

Reconciliation of Latest Approved Budget	£'000
2020/21 Original Budget	75,108
Carry forwards	
Better Care Fund	
- expenditure	70
- income	Cr 70
Improved Better Care Fund	
- expenditure	2,766
- income	Cr 2,766
Public Health Grant	
- expenditure	1,534
- income	Cr 1,534
Repairs & Maintenance	29
Grants included within Central Contingency	
PrEP grant (Public Health)	
- expenditure	52
- income	Cr 52
Adult Social Care grant-related expenditure (Improved Better Care Fund)	210
Other	
Return of Prior Year National Living Wage Funding to Contingency	Cr 567
Merit awards	57
COVID-19 Grants	
Contain Outbreak Management Fund	
- expenditure	4,405
- income	Cr 4,405
COVID-19 Government Grant	
- expenditure	1,791
- income	Cr 1,791
Test and Trace Service Support Grant	
- expenditure	1,370
- income	Cr 1,370
Infection Control Fund	
- expenditure	4,535
- income	Cr 4,535
Rapid Testing Grant	
- expenditure	530
- income	Cr 530
Workforce Capacity Grant	
- expenditure	586
- income	Cr 586
Memorandum Items:	
Capital Charges	1,727
Insurance	49
Repairs & Maintenance	Cr 171
IAS19 (FRS17)	1,464
Rent income	129
Grant income	Cr 129
Excluded Recharges	Cr 240
Latest Approved Budget for 2020/21	<u><u>77,666</u></u>